

Enrol me into **Theta Trading's** next **Derivative Index Trading Induction Workshop!**
I understand that at the conclusion of Day 1 of the Induction Workshop, if I am not completely satisfied, I will be entitled to a full refund of all monies paid to date.

Your Details (Please Print):

(Please Tick) Mr Mrs Ms Miss Dr Tax Invoice Billing Name _____

Surname: _____ First Name: _____

Occupation: _____ Email Address: _____

Spouse (if attending) Partner/Friend (if attending) Student Under 21 (if attending)

Full Postal Address: _____

Suburb: _____ State: _____ Postcode: _____

Telephone (A/H): _____ (B/H): _____ (Mob): _____

PREFERRED PHONE NUMBER FOR CONTACT (A/H) (B/H) (MOB)

Payment Plans (Please Tick) (A workshop folder will be presented to you once payment is received which outlines the 2 Day Workshop)

<input type="checkbox"/> Membership	Full payment of \$4,950.00 now secures my place in the forthcoming induction Workshop.
<input type="checkbox"/> Spouse	Full payment of \$1,495.00 now secures my place in the forthcoming Induction Workshop. (No subscription fee applies)
<input type="checkbox"/> Partner/Friend	Full payment of \$2,495.00 now secures my place in the forthcoming Induction Workshop.
<input type="checkbox"/> Student	Full payment of \$995.00 now secures my place in the forthcoming Induction Workshop.
Subscription	<i>Subscription requires an ongoing monthly investment of \$195.00 which is payable at commencement of Day 1 of the Induction Workshop.</i>

Payment Options (Please Tick)

CHEQUE: Please make cheque payable to **Theta Trading**
PO Box 232, Albert Park VIC 3206 Australia

DIRECT FUNDS TRANSFER: Account name: Theta Trading Pty. Ltd.
BSB: 083-376
Account: 56-788-1507

CREDIT CARD: VISA Bankcard Mastercard

Card Number: _____ Expiry _____ / _____

Total Amount (AUD): \$ _____

Cardholder's Name: _____

Signature: _____

Please read terms & conditions overleaf carefully >

I understand & agree that:

All amounts listed are inclusive of GST. Upon payment of my workshop fees and membership subscription, this enrolment form constitutes my tax invoice. The 100% money back guarantee is only available on Day 1 of the Induction Workshop; Beyond the 1-Day Induction Workshop I will abide by the payment terms, including as to payment of the Subscription for a minimum of twelve (12) months from the date of joining; An administration fee of \$20.00 per month will be incurred for late payments. I will be entitled to attend further member only events and functions with my spouse and children, free of charge, for a period of 12 months from the date of joining, provided my Subscription remains current; I will gain full access to the Theta Trading website and the information and member service contained within it for as long as my Subscription remains current; Subscription will be automatically debited by Theta Trading on or about the first day of each month, with the first of such payments debited at the end of the Induction Workshop; This amount will be payment in advance for the month's access to the Theta Trading information services; If I terminate my membership within 12 months of joining, I understand that the outstanding balance of Subscription payments will be payable and I authorise Theta Trading to debit my nominated credit card for any such outstanding amount; After 12 months provided all amounts that I owe to Theta Trading have been paid, I may suspend or cancel my subscription at any time by advising Theta Trading, in writing of my desire to do so; The data and information provided by Theta Trading and/or Jon Cox will be used solely by me (or the individual to whom it is addressed) and I will not make this information available to any other party by any means nor will I copy it or otherwise reproduce or disseminate it; The Copyright in such information is owned and controlled by Theta Trading; Theta Trading will make every effort to deliver the product and services advertised, however, to the extent permitted by law, reserves the right to amend conditions without prior notice. I understand that any financial product advice that I receive as a result of my participation in the workshop and my membership of Theta Trading is General Advice only and is provided by Jon Cox as an authorised representative of Halifax Investment Services Pty Ltd. No Personal Advice is provided to me by Theta Trading or Jon Cox as part of my participation in the workshop and my membership. I understand that if I require Personal Advice, I will contact my licensed broker or financial planner. Moreover, I acknowledge and agree that neither Theta Trading Pty Ltd nor Jon Cox will, or is expected to, consider my objectives, financial situation or needs. I acknowledge and agree that the minimum total cost of membership will be \$7,290.00, or if I am a partner/friend of a member and choose the partner/friend membership option - \$4,835.00, or if I am a student and choose the student membership option - \$3335.00. There may be present at the Workshop third parties, separate from Theta Trading, who offer to provide a financial service to attendees. The financial service provided by such third parties may include General Advice and/or Personal Advice. The attendee is informed that:

- (a) Theta Trading will merely inform the attendee that a third party is able to provide the applicable financial service;
- (b) The third parties are not in any way affiliated with, and do not represent Theta Trading or Jon Cox;
- (c) Theta Trading does not warrant that the third parties are licensed or authorised to provide the financial service that is represented;
- (d) Theta Trading does not endorse, warrant, guarantee or recommend the financial advice provided by such third parties;
- (e) Theta Trading does not receive any financial benefit from the third parties providing the financial service to attendees;
- (f) Before acting on any financial service provided by the third party, the attendee should consider the appropriateness of the advice in regard to the attendee's objectives, financial situation and needs.

Important Notices

The whole of the Agreement between Theta Trading Pty Ltd ABN 39 108 537 953 and the attendee ("Attendee") are those set out in this Enrolment Form and the Terms and Conditions as amended from time to time by Theta Trading and those, if any, which are implied and which cannot be excluded by law. Certain of the financial information, news and research (collectively "the Information") made available via Theta Trading workshops, Subscription and website is prepared by information providers that are independent of Jon Cox and Theta Trading and its affiliates. These sources have proved to be highly reliable; however Theta Trading cannot and does not guarantee the accuracy, adequacy, timeliness or completeness of the Information. Your investments needs may be different from other persons and so you should review all the Information in the context of your own requirements, objectives needs and situation. The financial products referred to in the Information are not suitable for everyone and so you are encouraged to seek advice from independent and trusted advisors. The information is for general information purposes only and should not be considered an individual recommendation or personalised investment advice. No mention of particular strike prices should be considered an offer or recommendation to sell or buy any of the financial products referred to in the Information. By signing this document I acknowledge and agree that I have read, understood and am bound by Theta Trading's Standard Terms & Conditions. Jon Cox (authorised representative number 268694) is an authorised representative of Halifax Investment Services Limited ABN 52 096980 522. Australian Financial Services License 225973. Jon Cox is the Director of Theta Trading. Theta Trading, amongst other things, provides information services. Theta Trading does not offer financial product advice. All financial product advice is made by representation of Halifax Investment Services Limited. I have also received a copy of, have read and understood the Jon Cox and Halifax Financial Services Guide & the Standard Terms & Conditions. Any such advice is General Advice only, and as such you are warned that:

- (a) The General Advice will be prepared without taking into account your objectives, financial situation or needs; and
- (b) Because of that, you should, before acting on the advice, consider the appropriateness of the advice and have regard to your objectives, financial situation and needs; and
- (c) If the advice relates to the acquisition or possible acquisition of a particular financial product, you should obtain a Product Disclosure Statement relating to the product and consider the Statement before making any decision about whether to acquire the product.

The Attendee's Personal Information will be dealt with in accordance with Theta Trading's published Privacy Policy. I do not authorise Theta Trading to disclose my personal information to other entities for the purposes of receiving promotional material that may be relevant to me.

Signature:

Date: / /